

# Hydrodynamics of superfluids confined in blocked rings and wedges

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Motivated by many recent experimental studies of nonclassical rotational inertia (NCRI) in superfluid and supersolid samples, we present a study of the hydrodynamics of a superfluid confined in the two-dimensional region (equivalent to a long cylinder) between two concentric arcs of radii  $b$  and  $a$  ( $b < a$ ) subtending an angle  $\beta$ , with  $0 \leq \beta \leq 2\pi$ . The case  $\beta = 2\pi$  corresponds to a blocked ring. We discuss the methodology to compute the NCRI effects and calculate these effects both for small angular velocities, when no vortices are present, and in the presence of a vortex. We find that, for a blocked ring, the NCRI effect is small and that therefore there will be a large discontinuity in the moment of inertia associated with blocking or unblocking circular paths. For blocked wedges ( $b=0$ ) with  $\beta > \pi$ , we find an unexpected divergence of the velocity at the origin, which implies the presence of either a region of normal fluid or a vortex for any nonzero value of the angular velocity. Implications of our results for experiments on “supersolid” behavior in solid  $^4\text{He}$  are discussed. A number of mathematical issues are pointed out and resolved.

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## I. INTRODUCTION

Flow without dissipation is the defining feature of superfluidity. Because of this property, the moment of inertia of a vessel containing a superfluid is different from (smaller than) that when the liquid is in the normal state. This effect is largest in the absence of vortices, when superfluid flow is irrotational. The difference between the moments of inertia when the liquid, confined by boundary conditions, is in the normal and superfluid states is known as the “nonclassical rotational inertia” (NCRI). The occurrence of NCRI is often used as an experimental signature of superfluidity. Superfluid hydrodynamics and the resulting NCRI have been studied extensively [1] in the past for simple geometries, such as spherical, cylindrical, or rectangular containers rotating about a symmetry axis. Because of several recent developments, some of which are briefly discussed below, it has become necessary to understand the properties of flow of superfluids in enclosures of more complicated geometry. These provide the motivation for our present study.

Recent observations [2–8] of NCRI in torsional oscillation experiments on solid  $^4\text{He}$  have been interpreted as the occurrence of a “supersolid” phase. This interpretation of the experimental results is controversial. There is experimental [5,9] and theoretical [10,11] evidence suggesting that the observed NCRI is due to superfluidity along crystalline defects such as grain boundaries in a polycrystalline sample and networks of dislocation lines. Since these extended defects form complex disordered structures, calculations of the flow prop-

erties and the rotational inertia of a superfluid confined in irregular-shaped channels are necessary for a quantitative assessment of whether this mechanism is the correct explanation of the observed results. In this context, it is important to examine whether the superfluid component can flow along continuous closed paths in the sample. Since the geometry of the network of defects would depend on thermodynamic variables such as temperature and pressure, and on the cell geometry, the availability of such paths would also depend on these parameters and conditions. Thus, an understanding of the dependence of the NCRI on such variables requires, for example, a calculation of how the NCRI arising from a blocked ring of superfluid changes as the blockage is removed. To check whether the observed NCRI is due to the occurrence of extended superfluidity, the NCRI of samples in which the solid  $^4\text{He}$  is confined in the annular region between two concentric cylinders has been measured [2,7] in the presence of a barrier in the annulus that prevents possible flow of the superfluid along a closed path surrounding the rotation axis (the common axis of the cylinders). The NCRI observed under these conditions is found to be much smaller than that for samples in which the artificial block is not present. The calculation just mentioned is obviously relevant for a quantitative understanding of the results of such experiments. Finally, an understanding of experimental results [6–8] on the dependence of the NCRI on the frequency of torsional oscillations requires a theoretical analysis of vortex formation and critical velocity in superfluids confined in irregular-shaped channels.

Our study is also partly motivated by the recent explosion of activity in experimental and theoretical studies of superfluidity and other quantum phenomena in trapped, ultracold atomic systems [12,13]. Also, there have been many experimental studies of the flow properties and NCRI of superfluids confined in porous media such as Vycor glass and containers packed with fine powder [14–17]. The first experimental observation [18] of “supersolid” behavior was

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in a torsional oscillator experiment on solid  $^4\text{He}$  confined in Vycor glass. Since the pores in these systems have complex geometry, it is necessary to work out the hydrodynamics of superfluids in irregular-shaped channels in order to understand the results of these experiments in quantitative detail.

Thus, we study here the hydrodynamics of a superfluid confined in a two-dimensional region between two concentric circular arcs, each of which subtends an angle  $\beta$  at their common center. The annular region between the two arcs is bounded on two sides by straight walls along the radial direction. Thus, the special case with  $\beta=2\pi$  corresponds to a ring that is blocked by a wall placed perpendicular to its inner and outer peripheries. This two-dimensional geometry corresponds, neglecting edge effects, to that used in many experiments on supersolid behavior in  $^4\text{He}$  where the helium is confined in the annular region between two concentric cylinders, under the assumption that the cylinders are long enough and the confined system is homogeneous along the cylinder axis. In the limit of vanishing inner radius, the geometry we study corresponds to that of a wedge with opening angle  $\beta$ . The limit  $\beta=2\pi$  in this case represents a circular container with a straight blocking wall extending from the center of the circle to its periphery.

We assume throughout the paper that the fluid is incompressible, which is appropriate for superfluid helium. We first consider the case where there are no vortices (so that the superfluid flow is irrotational) and solve the hydrodynamic equation for the velocity field for rotation about an axis perpendicular to the plane of the system and passing through the common center of the arcs that form its boundary. The sample geometry is reflected in the boundary conditions for the velocity field. For incompressible and irrotational flow, the velocity field can be expressed in terms of either a scalar or a vector potential (stream function), analogous to those in electromagnetic theory, both of which satisfy the Laplace equation with appropriate boundary conditions. The scalar potential method is simpler and leads to series that converge rapidly. We have used this method to obtain the velocity field for  $\beta=2\pi$  and  $\beta=\pi$ . For a general value of  $\beta$ , however, the stream function method, although more difficult in that it leads to series that are not convergent, but Borel summable, is more powerful. We have therefore used it to obtain the velocity field for arbitrary  $\beta$ . We present analytic results for the velocity field and the moment of inertia for arbitrary values of the inner and outer radii and the opening angle  $\beta$ . We also derive a simple ‘‘parallel axis’’ theorem that relates the moment of inertia for rotation about any axis perpendicular to the plane of the system to the calculated value for rotation about an axis passing through the center of mass.

In the context of experimental observations of NCRI in solid  $^4\text{He}$ , the most important result of our study is about the NCRI of a blocked ring. When the ring is blocked, the superfluid cannot flow through it. However, due to the irrotational nature of superfluid flow, the moment of inertia is smaller than that for rigid-body rotation. Therefore, the drop in the moment of inertia when the block is removed (the superfluid does not contribute to the moment of inertia when there is no block) is less than the rigid-body value. Our calculations show that the moment of inertia of a blocked ring whose width is small compared to its radius is very close to

its moment of inertia for rigid rotation, so that unblocking the ring (i.e., the opening up of a closed path) produces a large drop in the moment of inertia (nearly equal to its rigid-rotation value), which would show up in an experiment as a relatively large value of the NCRI. Thus, the onset of NCRI in experiments on solid  $^4\text{He}$  may correspond to the unblocking of large closed paths in the network of defects along which the superfluid component is supposed to exist. Our results for the NCRI of a superfluid confined in a blocked ring can be compared directly with those of experiments [2,7] in which the NCRI of solid  $^4\text{He}$  confined in an annular cell is measured both in the presence and in the absence of a barrier that blocks flow around the annular channel. We show that our results, when combined with accurate measurements of the NCRI, can provide valuable information about the structure of the superfluid network in solid  $^4\text{He}$  and discuss the validity of our hydrodynamic description for superfluid flow in narrow channels such as those along crystalline defects in solid  $^4\text{He}$ .

An interesting result of our calculation is that the velocity field for a wedge with  $\beta > \pi$  diverges at the tip of the wedge for *any* nonzero value of the angular velocity  $\Omega$ . This means that the implicit assumption that the velocity field nowhere exceeds the Landau critical velocity is in principle mathematically incorrect for these wedges: for any nonzero value of  $\Omega$ , there must be a region near the tip where the liquid is in the normal state. We show that the size of the region where this occurs is too small to have any measurable consequence in  $^4\text{He}$  experiments performed with usual geometries. This divergence of the velocity can be removed by the presence of a single vortex. We calculate the position of this vortex and the rotational inertia in its presence. Our calculations uncover also several interesting mathematical issues, and we indicate ways of addressing them. Some of these were also present in earlier studies [1] of superfluid hydrodynamics, while some are new. We discuss these questions as they appear throughout the paper.

Whether vortices appear or not is in general determined by the free-energy cost of creating a vortex. We will show that for typical experiments on  $^4\text{He}$ , vortices do not occur for sufficiently small angular velocities. However, as pointed out in Ref. [1], states with vortices present will have, at sufficiently larger values of the angular velocity, a lower free energy than the vortex-free state. We calculate the critical angular velocity for vortex nucleation which turns out, for typical  $^4\text{He}$  samples, to be in the experimentally important range of angular velocities. We show how the rotational inertia is modified by these vortex excitations. These results are relevant for understanding the experimentally observed dependence of the NCRI of ‘‘supersolid’’  $^4\text{He}$  on the frequency of torsional oscillations [6–8].

The rest of this paper is organized as follows. In Sec. II, we describe in detail our calculations. We present first two alternative methods of calculating the velocity field in the vortex free case and discuss the results obtained for this field and the moment of inertia. We compare our results for the NCRI with those of experiments on solid  $^4\text{He}$  in blocked annular geometry and point out other implications of our results for experimental studies of superfluidity. We then explain how to include vortices in our description and calculate

the critical angular velocity for vortex nucleation. A summary of our results is presented in the concluding Sec. III.

## II. RESULTS

### A. Formulation of the problem

We consider, as explained above, superfluid flow in an ideal cylinder, long enough in the  $z$  direction so that edge effects are negligible and the problem quasi-two-dimensional. The cross sections of the cylinders that we will consider will be bounded by two concentric circular arcs of radii  $a$  and  $b$  (with  $a > b$ ) and encompassing an angle  $\beta$ . In the limit  $b=0$  the shape of this cross section is that of a circular wedge. We will consider all values of  $\beta$ ,  $0 < \beta \leq 2\pi$ . It must be emphasized that the case  $\beta=2\pi$  is not the same as that of a ring, since a boundary along a radius still exists.

In the absence of vortices (the generalization to the case when vortices are present will be discussed below) the superfluid velocity field  $\mathbf{v}(\mathbf{r})$  for an incompressible fluid satisfies

$$\nabla \cdot \mathbf{v}(\mathbf{r}) = 0, \tag{2.1a}$$

$$\nabla \times \mathbf{v}(\mathbf{r}) = 0. \tag{2.1b}$$

The boundary condition corresponding to superfluid rotation around some center  $O$  with uniform angular velocity  $\boldsymbol{\Omega}$  is that [1] the normal component of the fluid's velocity at the boundary must equal the normal component of the rigid-body velocity  $\boldsymbol{\Omega} \times \mathbf{r}$  at that point. That is, the component of  $\mathbf{v}(\mathbf{r})$  along the outward normal  $\hat{\mathbf{n}}$  to the boundary must equal, at any point on the boundary, the component of  $\boldsymbol{\Omega} \times \mathbf{r}$  along  $\hat{\mathbf{n}}$  at that point:

$$\mathbf{v}(\mathbf{r}) \cdot \hat{\mathbf{n}} = (\boldsymbol{\Omega} \times \mathbf{r}) \cdot \hat{\mathbf{n}}, \tag{2.2}$$

where  $\mathbf{r}$  is a vector from  $O$  to a point on the boundary. The point  $O$  is not necessarily the center of mass of the system: in general, we will take it to be, for reasons of obvious computational convenience, the center of the arc or arcs that are part of the boundaries of our system.

There are two obvious ways to solve Eqs. (2.1). The first is to introduce a scalar potential  $V(\mathbf{r})$  such that  $\mathbf{v}(\mathbf{r}) = \nabla V(\mathbf{r})$ . In that case  $V(\mathbf{r})$  satisfies the Laplace equation,  $\nabla^2 V(\mathbf{r}) = 0$  and Eq. (2.2) is a Neumann boundary condition on  $V$ . Alternatively, one can introduce a stream function  $\Psi(\mathbf{r})$  such that

$$v_x = -\partial\Psi/\partial y, \tag{2.3a}$$

$$v_y = \partial\Psi/\partial x, \tag{2.3b}$$

where one can think of  $\Psi$  as the  $z$  component of a vector potential [ $\mathbf{v}(\mathbf{r}) = -\nabla \times \hat{z}\Psi(\mathbf{r})$ ]. It is obvious that  $\Psi(\mathbf{r})$  also satisfies the Laplace equation  $\nabla^2 \Psi(\mathbf{r}) = 0$ . Now, however, the boundary conditions are of the Dirichlet form [1]: at any point in the boundary,

$$\Psi(\mathbf{r}) = \frac{1}{2}\Omega r^2. \tag{2.4}$$

It turns out, as we will see, that for certain special values of  $\beta$  such as  $\pi$  and  $2\pi$ , the scalar potential method is much simpler to use and leads to expressions for  $\mathbf{v}(\mathbf{r})$  in the form of rapidly convergent series which are very convenient. However, for other values of  $\beta$ , this method becomes rather awkward. The stream function method, on the other hand, can be used for any value of  $\beta$ , but the resulting expressions involve asymptotic series. These are, however, Borel summable and agree with the results obtained from  $V(\mathbf{r})$  in the cases where the scalar potential method works well. For this reason, we will first present here results obtained from  $V(\mathbf{r})$  for  $\beta=2\pi$  and  $\beta=\pi$ , and then consider the general case using the stream function.

Once the velocity field is obtained, the angular momentum (and hence the moment of inertia) can be calculated by straightforward integration of the velocity field. In this way, the depletion of the moment of inertia from its rigid-body value is obtained. In general, our origin  $O$  is not the center of mass (c.m.) of the system: therefore, it is important to discuss an interesting property of the nature of the parallel-axis theorem shift in the superfluid case. If one considers the moment of inertia of the superfluid with respect to the c.m.,  $I_{SF}^{c.m.}$ , one finds, of course, that it is always smaller than that of the corresponding rigid object (RO) of the same shape and density,  $I_{RO}^{c.m.}$ . Indeed, for the case of a circle  $I_{SF}^{c.m.}$  vanishes. With respect to an arbitrary origin  $O$  one has for the superfluid a total moment of inertia  $I_{SF}^r = I_{SF}^{c.m.} + I_{SF}^{PA}$ , where the last term is the parallel axis shift. The key point here is that this shift is the same as that for the rigid object. One has

$$I_{SF}^{PA} = I_{RO}^{PA}. \tag{2.5}$$

The proof of this theorem is very simple: the problem, as defined by the above equations and boundary conditions, is linear. If one shifts the origin from the c.m. to a point a distance  $\mathbf{R}$  away from it, the velocity field of the boundaries shifts to  $\mathbf{v} = (\mathbf{r} + \mathbf{R}) \times \boldsymbol{\Omega}$ . In view of this, the linearity of the problem, and the boundary condition, Eq. (2.2), the solution of the shifted problem is the velocity field computed with respect to rotations around the c.m., plus a uniform velocity field  $\mathbf{R} \times \boldsymbol{\Omega}$ . This second field trivially satisfies the equations and takes care of the additional term in the boundary condition. But it is trivial to verify that such a constant field leads simply to a parallel-axis theorem shift in the moment of inertia equal to that for the corresponding rigid object. This applies irrespective of the shape of the object: it is not limited to the wedge shapes considered here. It is straightforward to check by direct calculation that it applies, for example, to the ellipsoidal shapes of Ref. [1]. This theorem has physical consequences: since the parallel-axis shift cannot be "depleted" from its RO value by the superfluid flow, in general the fractional depletion of  $I_{SF}$  will always be largest when the rotation is around the c.m.

### B. Scalar potential method for $\beta=2\pi$ and $\beta=\pi$

To illustrate the results, let us first turn to the simplest case where  $\beta=2\pi$ ,  $b=0$  (a circle with a wall along its radius). For this case, one can very simply use the scalar potential method. We write, in polar coordinates,

$$V(r, \phi) = \sum_{m \geq 1} a_m r^{m/2} \sin(m\phi/2) + \sum_{m \geq 1} b_m r^{m/2} \cos(m\phi/2). \quad (2.6)$$

With the radial wall set along the  $\phi=0$  direction, the azimuthal component of the velocity,

$$v_\phi(r, \phi) = \sum_{m \geq 1} \frac{m}{2} a_m r^{m/2-1} \cos(m\phi/2) - \sum_{m \geq 1} \frac{m}{2} b_m r^{m/2-1} \sin(m\phi/2), \quad (2.7)$$

must equal  $\Omega r$  at  $\phi=0$ . This immediately tells us that all the  $a_m$  vanish except  $a_4$ , which equals  $\Omega/2$ . The radial component is then

$$v_r(r, \phi) = \Omega r \sin(2\phi) + \sum_{m \geq 1} \frac{m}{2} b_m r^{m/2-1} \cos(m\phi/2). \quad (2.8)$$

At  $r=a$  we have  $v_r=0$  and from this one obtains that all the  $b_n$  with even  $n$  are zero, while for odd  $n$

$$b_n = \frac{32\Omega a}{\pi n(n^2 - 16)a^{n/2-1}}. \quad (2.9)$$

From these and Eqs. (2.7) and (2.8) we have the final result for the velocity field:

$$v_r(r, \phi) = \Omega r \sin(2\phi) + \frac{16\Omega a}{\pi} \sum_{n>0, n \text{ odd}} \rho^{n/2-1} \times \frac{1}{n^2 - 16} \cos(n\phi/2), \quad (2.10a)$$

$$v_\phi(r, \phi) = \Omega r \cos(2\phi) - \frac{16\Omega a}{\pi} \sum_{n>0, n \text{ odd}} \rho^{n/2-1} \times \frac{1}{n^2 - 16} \sin(n\phi/2), \quad (2.10b)$$

where  $\rho \equiv r/a$ .

Two remarks are needed about these simple results: first, the series involved are very rapidly convergent. Second, the velocity components have a square-root singularity at the origin. Mathematically, the singularity is integrable and allows for the formal calculation of the moment of inertia. Physically, the relevant number is the value of  $r$  at which the velocity would exceed the Landau critical velocity  $v_c$ . For liquid  $^4\text{He}$ ,  $v_c \approx 2.5 \times 10^4$  cm/s [19], and in typical experiments on supersolid behavior, the maximum value of  $\Omega$  is less than  $0.1 \text{ s}^{-1}$  (see, for example, [2,6]). This would mean that only at values of  $r/a$  around  $10^{-11}$  would  $v_c$  be exceeded. Such small values of  $r$  would not have any experimentally measurable consequence (the hydrodynamic description we use would not even apply to such length scales). Also this divergence is not present for nonzero values of the inner radius  $b$  and the inner radius is finite (of order  $10^{-1}$  cm) in torsion and rotation experiments. Thus, this divergence is not important for  $^4\text{He}$ . This divergence may have observable

consequences in Bose-Einstein condensates (BECs) in cold atomic systems [12,13], although our incompressibility and uniform density assumptions are not applicable to BECs in cold atomic systems, where the high compressibility and the confining potential introduce substantial variations in the density. We show later that the divergence discussed above is present in blocked wedges for all values of  $\beta$  greater than  $\pi$ . The effects of this divergence are discussed in Secs. II D and II E

The angular momentum is obtained by integration of  $rv_\phi$  over the sample, and the moment of inertia is just the ratio of the angular momentum and the angular velocity  $\Omega$ . We will use units in which the areal mass density is unity. We obtain the result

$$I_{SF} = -\frac{128a^4}{\pi} \sum_{n>0, n \text{ odd}} \frac{1}{n(n^2 - 16)(n + 4)}, \quad (2.11)$$

which, after numerically evaluating the rapidly convergent series, gives  $I_{SF}=0.693a^4$ . Thus we have for this obstructed circle

$$\frac{I_{SF}}{I_{RO}} \approx 0.441. \quad (2.12)$$

The same method can be used at  $\beta=\pi$ . In that case the only significant difference is that in the expression for  $V(r)$  one must write

$$V(r, \phi) = \sum_{m \geq 1} a_m r^m \sin(m\phi) + \sum_{m \geq 1} b_m r^m \cos(m\phi). \quad (2.13)$$

As before, all the coefficients  $a_n$  are determined from the boundary conditions on  $v_\phi$  at  $\phi=0$  and  $\phi=\pi$ . Both are satisfied if all  $a_n$  vanish except  $a_1=\Omega/2$ . The  $b_n$  are determined then from the boundary condition on  $v_r$ . The result for the velocity field is

$$v_r(r, \phi) = \Omega r \sin(2\phi) + \frac{8\Omega a}{\pi} \sum_{n>0, n \text{ odd}} \rho^{n-1} \frac{1}{n^2 - 4} \cos(n\phi), \quad (2.14a)$$

$$v_\phi(r, \phi) = \Omega r \cos(2\phi) - \frac{8\Omega a}{\pi} \sum_{n>0, n \text{ odd}} \rho^{n-1} \frac{1}{n^2 - 4} \sin(n\phi). \quad (2.14b)$$

The series are again convergent, and now the previously found integrable singularity at the origin is absent. The moment of inertia with respect to the origin is

$$I_{SF} = -\frac{16a^4}{\pi} \sum_{n>0, n \text{ odd}} \frac{1}{n(n^2 - 4)(n + 2)}. \quad (2.15)$$

Numerically, we have  $I_{SF}=0.488a^4$ , which gives a ratio  $I_{SF}/I_{RO}=0.621$ , a value higher than that for the circle. However, we must recall that in this case  $O$  is not the c.m. and that (as shown above) there is no reduction in the parallel axis term so that from the point of view of the c.m. the reduction must be larger. Indeed one finds that



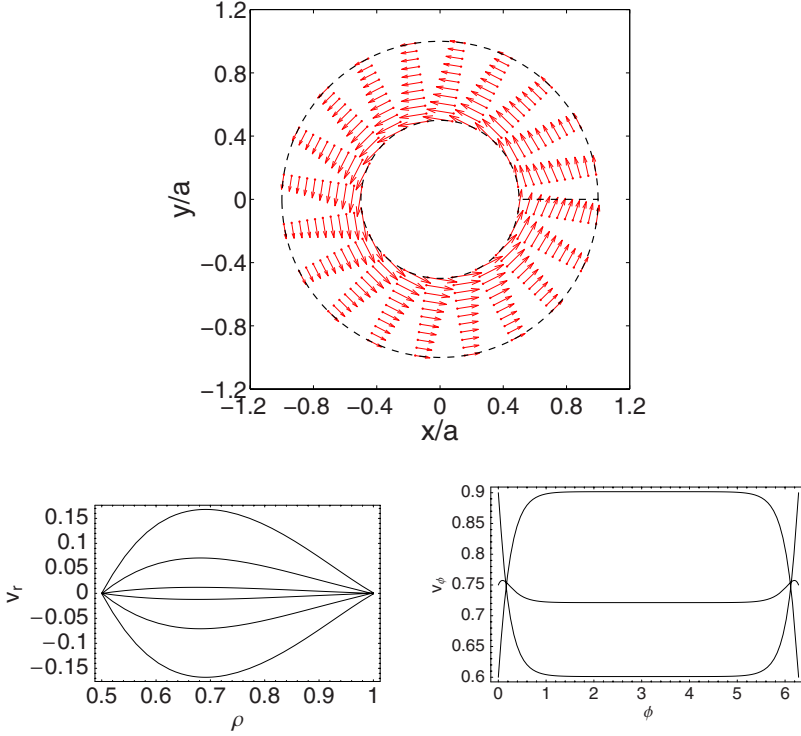


FIG. 1. (Color online) The velocity field for a blocked ring with  $c=0.5$ . The first panel shows the relative strengths of the velocity field as a function of position. The second panel is the radial component (in units of  $\Omega a$ ) plotted vs  $\rho \equiv r/a$  at azimuthal angles  $\phi$  (from bottom to top) of  $\pi/16, \pi/8, \pi/4, 7\pi/4, 15\pi/8, 31\pi/16$ . The third panel, in the same units, shows the azimuthal component of the velocity vs  $\phi$  at  $\rho = 0.6, 0.75, 0.9$ .

$$\frac{I_{SF}^{c.m.}}{I_{RO}^{c.m.}} = 0.41, \quad (2.16)$$

which is actually a little less than that for the circle.

One can see that it is awkward to extend this simple procedure to other values of  $\beta$ . If one sets for example  $\beta = \pi/2$  and doubles again the angles and powers in the expression for  $V(\mathbf{r})$ , one finds that it is not possible to satisfy the boundary condition for  $v_\phi$  at  $\phi=0$  and  $\phi=\pi/2$  from a single term in the first sum (the  $a_n$  coefficients) in the potential. Similar difficulties are found at, e.g.,  $\beta=3\pi/2$ . Although these difficulties should not be unsurmountable, we will instead use the stream function method in the general case and deal appropriately there with the mathematical difficulties associated with the asymptotic series that then result.

However, one can easily generalize this simple procedure, for the above values of  $\beta$ , to the physically more relevant case where  $b>0$ . We will consider here the important case of an obstructed ring,  $\beta=2\pi$ . In that case one simply has to add to the potential in Eq. (2.6) the appropriate negative powers of  $r$ . The coefficients are then found from the boundary conditions on  $v_r$  at  $r=a$  and  $r=b$ . One then obtains the velocity fields

$$v_r(r, \phi) = \Omega a \rho \sin(2\phi) + \frac{16\Omega a}{\pi} \sum_{n>0, n \text{ odd}} \cos(n\phi/2) \times \frac{1}{(1-c^n)(n^2-16)} \left[ \rho^{n/2-1} f_n(c) - \frac{g_n(c)}{\rho^{n/2+1}} \right], \quad (2.17a)$$

$$v_\phi(r, \phi) = \Omega a \rho \cos 2\phi - \frac{16\Omega a}{\pi} \sum_{n>0, n \text{ odd}} \sin(n\phi/2) \times \frac{1}{(1-c^n)(n^2-16)} \left[ \rho^{n/2-1} f_n(c) + \frac{g_n(c)}{\rho^{n/2+1}} \right]. \quad (2.17b)$$

where  $c \equiv b/a < 1$ ,  $f_n(c) = 1 - c^{n/2+2}$ , and  $g_n(c) = c^n - c^{n/2+2}$ . Plots of the fields given by Eqs. (2.17) are shown in Fig. 1. All the plots in the figure are for  $c=0.5$ , a value in the region where, as we shall see below, NCRI effects are found to be largest. In the first panel, the vector field is displayed in two dimensions over the entire sample. The units of velocity are arbitrary, but the overall pattern of the field is then clearly shown. In the second and third panels we show a plot of  $v_r$  (in units of  $\Omega a$ ) vs  $r$  (in units of  $a$ ) at several values of the azimuthal angle  $\phi$  and a plot, in the same units, of  $v_\phi$  vs  $\phi$  at several values of  $r$ . One can see that the boundary conditions are satisfied.

The moment of inertia of the superfluid blocked ring is

$$I_{SF} = -\frac{128a^4}{\pi} \sum_{n>0, n \text{ odd}} \frac{1}{n(n^2-16)(1-c^n)} \times \left[ \frac{1}{n+4} f_n^2(c) - \frac{1}{n-4} g_n^2(c) \right]. \quad (2.18)$$

The behavior of this quantity as a function of aspect ratio  $c$  is well worth noting. In the first panel of Fig. 2 we plot the ratio  $R \equiv I_{SF}/I_{RO}$ , for a blocked ring of aspect ratio  $c$ , vs  $c$ . As noted above, the value for  $c=0$  (blocked circle) would, strictly speaking, have to be corrected, but the range of  $c$  affected by this is negligible. The ratio  $R$  increases very quickly with  $c$ : at  $c=1/2$  it already reaches 0.875, while at

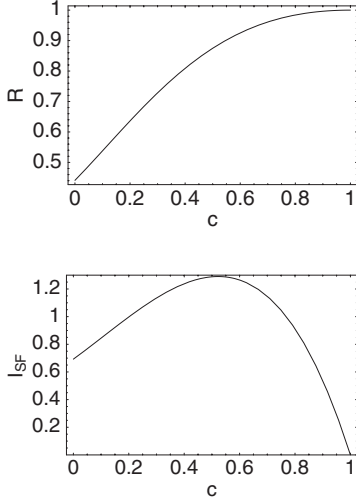


FIG. 2. Moment of inertia of an obstructed ring in terms of its aspect ratio  $c \equiv b/a$ . In the top panel the ratio  $R$  of  $I_{SF}$  [Eq. (2.18)] to the rigid-body value is plotted, while in the bottom panel we plot  $I_{SF}$  itself, in units such that  $a=1$ . The maxima in the two plots are at different values of  $c$ .

$c=0.75$  it exceeds 97%. We see, therefore, that a narrow superfluid circular channel rotating about its center behaves essentially like a rigid body when it is blocked. Since, when unblocked, its moment of inertia vanishes, we see that in such a channel there will be a sharp discontinuity in  $I$  as it is blocked or unblocked. In a sample containing a number of such channels, discontinuities or glitches in  $I$  will occur as the channels are blocked or unblocked. As  $c \rightarrow 1$ ,  $R \rightarrow 1$  and the unblocking would drop  $R$  from 1 to 0, the maximum amount. One should recall, however, that  $I$  vanishes at  $c=1$  for both the superfluid and the rigid body. In an experimental situation one would measure the *difference* in  $I$  with the channel blocked and unblocked, which is  $I_{SF}$  itself. This quantity has a broad maximum centered around  $c \approx 0.52$  as one can see in the second panel of Fig. 2. There we plot  $I_{SF}$  itself in units such that  $a$  is unity. From this plot one can see that the important experimental contribution would come from a range of rings with  $c$  values in the region 0.2–0.8.

### C. Stream function method for arbitrary $\beta$

As discussed in Sec. II A, the velocity field can be written in terms of a stream function  $\Psi(\mathbf{r})$  that satisfies the Laplace equation with Dirichlet boundary conditions [see Eqs. (2.3) and (2.4)]. Following Ref. [1], the general solution for  $\Psi(\mathbf{r})$  for arbitrary  $\beta$  can be written as

$$\Psi(\mathbf{r}) = \frac{1}{2} \Omega \int dl' r'^2 \mathbf{n}' \cdot \nabla' G(\mathbf{r}', \mathbf{r}), \quad (2.19)$$

where the line integral  $\int dl'$  is over the boundary of the system,  $\mathbf{n}'$  is a unit vector along the outward normal to the boundary, and  $G(\mathbf{r}, \mathbf{r}')$  is the Green's function for the Laplacian operator, satisfying the equation

$$\nabla^2 G(\mathbf{r}, \mathbf{r}') = \delta(\mathbf{r} - \mathbf{r}'), \quad (2.20)$$

and the boundary conditions  $G(\mathbf{r}, \mathbf{r}')=0$  for all  $\mathbf{r}$  on the boundary of the system. Thus,  $\Psi(\mathbf{r})$ , and hence, the velocity field, can be obtained from Eq. (2.19) once an expression for the Green's function, satisfying Eqs. (2.20) and its boundary condition, is obtained.

As in Sec. II B, we first consider, for simplicity, the case  $b=0$ , which corresponds to a wedge of radius  $a$  and opening angle  $\beta$ . The Green's function in this case is easily obtained [20] to be

$$G(r, \phi; r', \phi') = -\frac{1}{\pi} \sum_{n=1}^{\infty} \frac{1}{n} r^{n\pi/\beta} \left( \frac{1}{r_{>}^{n\pi/\beta}} - \frac{r_{>}^{n\pi/\beta}}{a^{2n\pi/\beta}} \right) \times \sin(n\pi\phi/\beta) \sin(n\pi\phi'/\beta), \quad (2.21)$$

where  $r_{>}$  ( $r_{<}$ ) is the larger (smaller) one of the two radial coordinates  $r$  and  $r'$ . Using this in Eq. (2.19), we obtain the following expression for the stream function  $\Psi(\mathbf{r})$ :

$$\Psi_{\Omega}(r, \phi) = \frac{2\Omega a^2}{\pi} \sum_{n>0, n \text{ odd}} \sin(n\pi\phi/\beta) \left\{ \frac{n\pi^2/\beta^2}{n^2\pi^2/\beta^2 - 4} \times \left[ -\left(\frac{r}{a}\right)^{n\pi/\beta} + \frac{r^2}{a^2} \right] + \frac{1}{n} \left(\frac{r}{a}\right)^{n\pi/\beta} \right\}. \quad (2.22)$$

The radial and azimuthal components of the velocity field, obtained from  $\Psi_{\Omega}(r, \phi)$  through Eqs. (2.3), are given by

$$v_r(r, \phi) = \frac{2\Omega a^2}{\pi r} \sum_{n>0, n \text{ odd}} \left( \frac{n\pi}{\beta} \right) \cos(n\pi\phi/\beta) \times \left\{ \frac{n\pi^2/\beta^2}{n^2\pi^2/\beta^2 - 4} \left[ \left(\frac{r}{a}\right)^{n\pi/\beta} - \frac{r^2}{a^2} \right] - \frac{1}{n} \left(\frac{r}{a}\right)^{n\pi/\beta} \right\}, \quad (2.23a)$$

$$v_{\phi}(r, \phi) = \frac{2\Omega a^2}{\pi} \sum_{n>0, n \text{ odd}} \sin(n\pi\phi/\beta) \left[ \frac{2r}{a^2} \frac{n\pi^2/\beta^2}{n^2\pi^2/\beta^2 - 4} - \frac{n\pi}{\beta r} \left(\frac{r}{a}\right)^{n\pi/\beta} \left( \frac{n\pi^2/\beta^2}{n^2\pi^2/\beta^2 - 4} - \frac{1}{n} \right) \right]. \quad (2.23b)$$

Calculation of the velocity field for  $\beta=\pi/2$  requires some care because the denominators of some of the terms in Eqs. (2.23) go to zero for  $\beta=\pi/2$  and  $n=1$ . The numerators also vanish for these values of  $\beta$  and  $n$ , so that finite contributions that vary smoothly with  $\beta$  across  $\pi/2$  are obtained for the velocity components. Similar behavior is found for  $\beta=3\pi/2$  for which the  $n=3$  term in the denominators in Eqs. (2.23) vanishes. These results also exhibit, for  $\beta>\pi$ , a singularity  $r^{\pi/\beta-1}$  as  $r \rightarrow 0$ , which can be readily seen from Eqs. (2.23) to arise from the  $n=1$  term in the sum. This is in agreement with what we found from the scalar potential method. As discussed in detail in the previous subsection, this divergence is not physically relevant for  $^4\text{He}$ , but may have observable consequences in experiments on cold atomic systems. Its possible physical effects are discussed in Secs. II D and II E. This singularity is always integrable: therefore, the angular momentum of the superfluid about the origin (tip of the wedge) is easily calculated for all  $\beta$  using these expressions for the velocity components. The result for the moment of inertia about  $O$  is

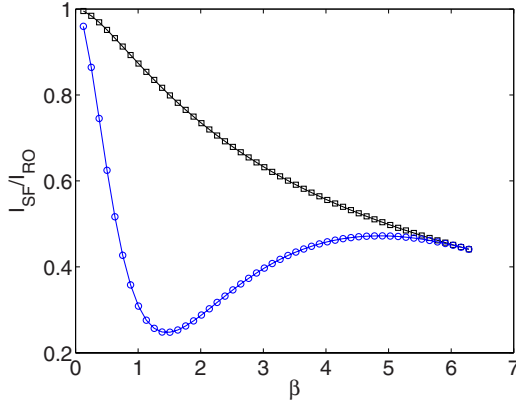


FIG. 3. (Color online) The ratios  $I_{SF}/I_{RO}$  (upper curve) and  $I_{SF}^{c.m.}/I_{RO}^{c.m.}$  (lower curve) for a superfluid wedge as a function of the opening angle  $\beta$ ,  $0 < \beta \leq 2\pi$ .  $I_{SF}$  is calculated from Eq. (2.24).

$$I_{SF} = \frac{2a^4}{\pi} \sum_{n>0, n \text{ odd}} \frac{1}{n} \left( \frac{n\pi}{\beta} + 4 \right) \frac{1}{(n\pi/\beta + 2)^2}. \quad (2.24)$$

For the case  $\beta = 2\pi$ , the moment of inertia about the origin is given by the infinite series

$$I_{SF}(\beta = 2\pi) = \frac{4a^4}{\pi} \sum_{n>0, n \text{ odd}} \frac{1}{n} \frac{n+8}{(n+4)^2}. \quad (2.25)$$

This infinite series appears to be different from the one in Eq. (2.11), which was obtained using the scalar potential method. In particular, the series in Eq. (2.25) converges more slowly than the one in Eq. (2.11). However, it can easily be shown that these two expressions for the moment of inertia are mathematically identical. We have also checked that a similar situation applies when the results for the moment of inertia obtained from Eqs. (2.23) for  $\beta = \pi$  are compared to those obtained in the preceding section using the scalar potential method.

However, the situation is much more complicated when, instead of comparing the moments of inertia, one compares directly the velocity fields obtained by the two methods. In this case it is not sufficient to add or subtract a series that converges to zero. The reason is that while the series in Eqs. (2.10) converge for all angles  $\phi$  and for any  $r \neq 0$ , those in Eqs. (2.23a) and (2.22) do not. This question is related to other technical difficulties with the result (2.22) and, in general, with the stream function method, which we will further address below.

The moment of inertia of the wedge for rigid-body rotation about  $O$  is  $I_{RO} = \beta a^4/4$ , and its moment of inertia for rigid-body rotation about its c.m. is given by  $I_{RO}^{c.m.} = I_{RO} - I_{RO}^{PA}$  with  $I_{RO}^{PA} = 8a^4 \sin^2(\beta/2)/(9\beta)$ . Using these results and Eq. (2.24), we have calculated the ratios  $I_{SF}/I_{RO}$  and  $I_{SF}^{c.m.}/I_{RO}^{c.m.}$  as functions of the angle  $\beta$ . The results are shown in Fig. 3. These ratios are of course less than unity, the level of suppression being given by the NCRI effect. In the figure we see that this fractional suppression is always larger in the c.m. frame; that is,  $I_{SF}/I_{RO}$  is always higher than  $I_{SF}^{c.m.}/I_{RO}^{c.m.}$ , except of course at  $\beta = 2\pi$ , where the two are the same. This is in agreement with the theorem proved at the end of Sec. II A. It

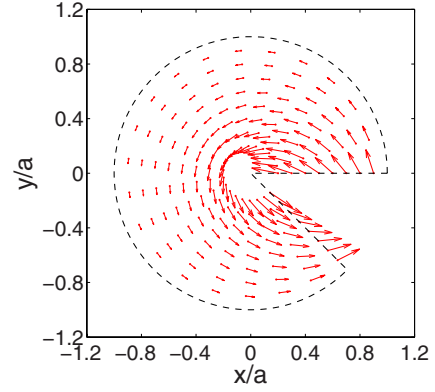


FIG. 4. (Color online) Plots of the velocity field inside the wedge for  $\beta = (7/8)2\pi$ . This should be compared with the first panel of Fig. 1.

is interesting that the ratio  $I_{SF}^{c.m.}/I_{RO}^{c.m.}$  is not a monotonic function of  $\beta$ —it exhibits a minimum at  $\beta = \pi/2$ .

A representative plot of the velocity field for a wedge with  $\beta = (7/8)2\pi$  is shown in Fig. 4. The velocity vector field is plotted in arbitrary relative units, as in the first panel of Fig. 1. It is instructive to compare that panel with Fig. 4. In the earlier case we have  $c = 0.5$ , whereas in Fig. 4 we have a wedge  $c = 0$ . The rise in the absolute value of the velocity as  $r \rightarrow 0$  can now be seen. On the other hand, the behavior of  $v_r$  as a function of  $\phi$  is clearly very similar: it follows from the second panel of Fig. 1 that  $v_r$  is very small except for angles near the radial boundaries, and this is clearly the case also for this  $c = 0$  wedge. The behavior of  $v_\phi$  with  $\phi$  is also quite similar.

We now return to the technical difficulties with the general solution for the velocity field obtained above via the stream function. As noted in Sec. II A, the quantity  $\Psi(r, \phi)$  should be equal to  $\Omega r^2/2$  at all points on the boundary and the physical velocity field should satisfy the boundary conditions  $v_\phi(r, \phi) = r\Omega$  for  $\phi = 0, \beta$  and  $v_r(r, \phi) = 0$  for  $r = a$ . It is easily seen from Eqs. (2.22) and (2.23b) that both  $\Psi(r, \phi)$  and  $v_\phi(r, \phi)$  vanish for  $\phi = 0$  and  $\phi = \beta$  [since  $\sin(n\pi\phi/\beta) = 0$  for these values of  $\phi$ ]. Thus the boundary condition on the radii appears to be violated even though the construction of the vector potential via the Green's function would seem to ensure that it will not be. As to Eq. (2.23a) for the radial component of the velocity, it can be written as

$$v_r(r, \phi) = \frac{8\Omega a^2}{\beta r} \sum_{n>0, n \text{ odd}} \cos(n\pi\phi/\beta) \frac{1}{n^2 \pi^2/\beta^2 - 4} \times \left[ \left( \frac{r}{a} \right)^{n\pi/\beta} - \frac{r^2}{a^2} \right] - \frac{2\Omega r}{\beta} \sum_{n>0, n \text{ odd}} \cos(n\pi\phi/\beta). \quad (2.26)$$

While the first term on the right-hand side of this equation vanishes for  $r = a$ , the second term does not. Thus, this component also appears not to satisfy the required boundary conditions. Numerically, however, we have found that these quantities do approach values consistent with the required boundary conditions as the boundaries are approached from inside, but there is a discontinuity as the boundary is ap-

proached and the values exactly at the boundaries do not satisfy the boundary conditions. This does not affect the calculated values of the angular momentum and the moment of inertia because these quantities are not sensitive to the values of the velocity components exactly at the boundary.

However, this numerical argument is not fully satisfactory. Fortunately there are better ones. First, one can see that this behavior is associated with the nonconvergence of the series. The last term in Eq. (2.26), for example, is not merely nonzero: the series that it contains is not convergent, while that in the first term is. Indeed, the rearrangement of terms leading from Eq. (2.23a) to Eq. (2.26) isolates just this non-convergent part. However, by rewriting the cosines in terms of exponentials one can verify that the series in the last term of Eq. (2.26) is Borel summable [22] (and also Euler summable) with the result being zero. With this proviso, Eq. (2.26) satisfies the boundary condition analytically. Similar arguments can be made for  $\Psi_\Omega$  and for the azimuthal component of the velocity.

This mathematical problem can also be solved by redefining the stream function as

$$\Psi(r, \phi) \rightarrow \Psi(r, \phi) - \frac{2\Omega r^2}{\pi} \left[ \sum_{n>0, n \text{ odd}} \frac{1}{n} \sin(n\pi\phi/\beta) - \frac{\pi}{4} \right], \tag{2.27}$$

where the first term on the right-hand side is that given by Eq. (2.22). The second term on the right-hand side, which is subtracted from the old expression, is zero for all points inside the wedge [21] and is equal to  $-\Omega r^2/2$  for  $\phi=0, \beta$ . Therefore, the subtraction of this quantity does not affect the behavior of  $\Psi(r, \phi)$  inside the wedge (where it still satisfies the Laplace equation). At the same time, the redefined  $\Psi(r, \phi)$  satisfies the required boundary condition for  $\phi=0, \beta$ . The new term leads to the following additional terms in  $v_\phi$  and  $v_r$ :

$$v_\phi(r, \phi) \rightarrow v_\phi(r, \phi) - \frac{4\Omega r}{\pi} \left[ \sum_{n>0, n \text{ odd}} \frac{1}{n} \sin(n\pi\phi/\beta) - \frac{\pi}{4} \right], \tag{2.28}$$

where again the first term on the right-hand side is the previous result, in this case Eq (2.23b). The added quantity is zero at all points inside the wedge and is equal to  $\Omega r$  for  $\phi=0, \beta$ , so that the required boundary conditions for these values of  $\phi$  are now satisfied. The equation for  $v_r$  becomes

$$v_r(r, \phi) \rightarrow v_r(r, \phi) + \frac{2\Omega r}{\beta} \sum_{n>0, n \text{ odd}} \cos(n\pi\phi/\beta). \tag{2.29}$$

The new term, added to Eq. (2.23a), cancels the ‘‘offending’’ second term in Eq. (2.26), so that the redefined  $v_r$  satisfies the required boundary condition at  $r=a$ .

A similar problem with boundary conditions is also present in the solution given in Ref. [1] for the velocity field inside a cylinder with a rectangular cross section. The expression for the stream function given in Eq. (62) of Ref. [1] does not in fact satisfy the required boundary conditions

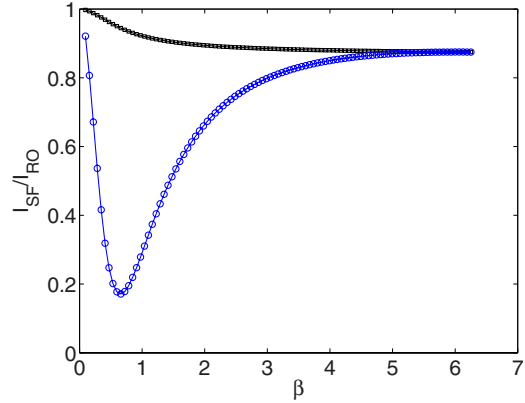


FIG. 5. (Color online) The ratios  $I_{SF}/I_{RO}$  (upper curve) and  $I_{SF}^{c.m.}/I_{RO}^{c.m.}$  (lower curve) for an annular wedge [Eq. (2.31)] plotted as a function of the opening angle  $\beta$ ,  $0 < \beta \leq 2\pi$ , at a fixed value of  $c=0.5$ .

posed there at all points on the boundary. As in the case considered here, this does not affect the results for the calculated physical quantities in Ref. [1] and this mathematical problem can be cured by the addition of a term similar to the one considered above.

The above calculations can be modified readily to treat a superfluid confined in the annular region between two concentric arcs with radii  $a$  and  $b$  ( $a > b$ ). The Green’s function in this case has the form

$$G(r, \phi; r', \phi') = -\frac{1}{\pi} \sum_{n=1}^{\infty} \frac{1}{n} \frac{1}{1 - (b/a)^{2n\pi/\beta}} \left( r_{<}^{n\pi/\beta} - \frac{b^{2n\pi/\beta}}{r_{<}^{n\pi/\beta}} \right) \times \left( \frac{1}{r_{>}^{n\pi/\beta}} - \frac{r_{>}^{n\pi/\beta}}{a^{2n\pi/\beta}} \right) \sin(n\pi\phi/\beta) \sin(n\pi\phi'/\beta). \tag{2.30}$$

In this case one does not have to worry about the behavior as  $r \rightarrow 0$ . Asymptotic series in the summations over  $n$  are again encountered and handled as in the preceding case. Using this in Eq. (2.19), the stream function  $\Psi(r, \phi)$ , and from it, the radial and tangential components of the velocity are obtained. We skip the long expressions for these quantities and quote the final result for the moment of inertia about the origin:

$$I_{SF} = I_{RO} - \frac{16a^4}{\beta} \sum_{n>0, n \text{ odd}} \frac{1}{x_n^2(x_n^2 - 4)} \left[ \frac{x_n^2 + 4}{2(x_n^2 - 4)} (1 - c^4) - \frac{2x_n}{x_n^2 - 4} \frac{1}{1 - c^{2x_n}} [(1 + c^4)(1 + c^{2x_n}) - 4c^2 c^{x_n}] \right]. \tag{2.31}$$

Here,  $x_n = n\pi/\beta$ ,  $c = b/a$ , and  $I_{RO} = \beta(a^4 - b^4)/4$  is the moment of inertia for rigid-body rotation. We have checked that this expression reduces to that in Eq. (2.24) for  $b=0$  and to that in Eq. (2.18) for  $\beta=2\pi$ . In Fig. 5, we show results for the NCRI in an annular wedge, as obtained from Eq. (2.31). The plots are the same as in Fig. 3 except that now we have  $c=0.5$ ; in other words, the fields are as in Fig. 1. Again, the



fractional suppression is larger, as it must be, in the c.m. and it exhibits a maximum as a function of  $\beta$ .

The results derived above have a direct relevance to torsional oscillator experiments on solid  $^4\text{He}$  [2,7] in which the helium is confined in the annular region between two concentric cylinders and the NCRI is measured both in the presence and in the absence of a barrier that prevents flow around the annulus. If the NCRI in the absence of the barrier is due to superflow along a closed channel surrounding the rotation axis (the common axis of the inner and outer cylinders), then the measured value of the NCRI when the barrier is not present should be  $(\Delta I)_{\text{open}} = \rho_s I_{RO}$ , where  $\rho_s$  is the supersolid fraction and  $I_{RO}$  the rigid-body moment of inertia of the channel of flow about the rotation axis. The NCRI in the presence of the barrier should be given by  $(\Delta I)_{\text{closed}} = \rho_s (I_{RO} - I_{SF})$  where, if this channel is approximately circular,  $I_{SF}$  is the moment of inertia of a blocked superfluid ring calculated above. Thus, the ratio  $R' \equiv (\Delta I)_{\text{closed}} / (\Delta I)_{\text{open}}$  should be equal to  $(I_{RO} - I_{SF}) / I_{RO} = 1 - R$ , where  $R \equiv I_{SF} / I_{RO}$  depends [see Eq. (2.18) and Fig. 2] on the value of  $c = b/a$ . If the superfluid component were distributed homogeneously throughout the sample, then  $a$  and  $b$  would be the outer and inner radii of the annular cell. Whether this is the case can be determined by comparing the experimentally measured value of  $R'$  with  $1 - R_0$ , where  $R_0$  is the value of  $R$  obtained from Eq. (2.18) using these values of  $a$  and  $b$ . If the superfluid is instead confined in a channel (or in several separate channels) with width substantially smaller than that of the annular cell, then  $R'$  should be smaller than  $1 - R_0$  because  $R$  increases as the width of the ring is decreased (see Fig. 2).

In the experiment of Ref. [2],  $a = 0.75$  cm and  $b = 0.64$  cm, so that  $1 - R_0 = 0.00817$ . The experimental value of  $R'$  is 0.015, which is within a factor of 2 of  $1 - R_0$ , but surprisingly, it is higher. However, the value of  $(\Delta I)_{\text{open}}$  appropriate for the blocked cell was evaluated from the results of a different experiment using another cell, so that the quoted value of  $R'$  may not be very accurate. Also, a value of  $R'$  larger than  $1 - R_0$  may be rationalized by assuming that the sample contains a large number of narrow superfluid channels, most of which do not form closed paths around the annulus (i.e., have  $\beta < 2\pi$ ). These “naturally blocked” channels make small contributions to the net sample NCRI. These contributions are not strongly affected by the imposition of the external barrier, which can change the value of  $\beta$  for the channels it intersects: our calculation shows that  $R = I_{SF} / I_{RO}$  for narrow annular wedges with  $\beta < 2\pi$  is rather insensitive to  $\beta$ . Since these channels contribute almost equally to  $(\Delta I)_{\text{open}}$  and  $(\Delta I)_{\text{closed}}$ , the value of the ratio  $R'$  would increase.

More recently, both  $(\Delta I)_{\text{open}}$  and  $(\Delta I)_{\text{closed}}$  have been measured using the same cell [7]. In this experiment, two cells, both with  $a = 0.794$  cm and  $b = 0.787$  and  $0.745$  cm, were used. In both cases the NCRI in the blocked configuration was found to be smaller than the resolution of the experiment. This is consistent with our calculated values of  $1 - R_0$ , which are  $2.9 \times 10^{-5}$  and  $1.3 \times 10^{-3}$ , respectively. Although the measurements are not sufficiently accurate to provide more detailed information about the channels of superflow, it is clear that more accurate measurements of  $R'$  for samples with different  $a$  and  $b$ , combined with the results of our

calculations, would be very useful for elucidating the geometry of superfluid channels in solid  $^4\text{He}$ .

If the superfluid channels are very narrow, the validity of the hydrodynamic description used here (and elsewhere [2]) might be questioned. However, recent numerical studies [10,11] indicate that the diameter of the superfluid region near the core of a dislocation and the width of the superfluid layer along a grain boundary are of the order of a few nanometers ( $\sim 10$  interparticle spacings). These values of the superfluid layer width are likely to be lower bounds, since superfluid channels of such small lateral dimensions cannot explain the relatively large superfluid density measured in recent torsional oscillation experiments [7]. It has been suggested [23] that the effective lateral dimension of the superfluid region near a crystalline defect may be larger due to a kind of “proximity effect,” as in superconductors. Also, studies [24] of the thermodynamics of a system of interacting vortex lines in type-II superconductors, which can be mapped to the zero-temperature quantum mechanics of a two-dimensional system of interacting bosons, show that the width of grain boundaries can exceed 15–20 interparticle spacings in some cases. A hydrodynamic description should be valid if the width of the typical superfluid regions is of order  $\sim 10$  interparticle spacings or more: this has been well established quantitatively in several numerical studies of the flow properties of classical liquids through narrow channels [25,26]. The same should be true for superfluid  $^4\text{He}$  because its coherence length is very small.

A related effect that needs to be considered if the superfluid channel along a crystal defect is very narrow is the modulation of the density of the superfluid due to the potential arising from the surrounding crystalline region. We expect our calculations to be valid in the presence of such density modulations because the hydrodynamic equation for a rotating superfluid derived (for low angular speed) in a recent study [27] in which superfluidity is assumed to coexist with a periodic modulation of the density [Eq. (8) of Ref. [27]] is identical to that used in our calculation.

#### D. Formation of vortices in a wedge with $\beta > \pi$

As noted above, the velocity field obtained from a calculation in which it is assumed to be irrotational exhibits a divergence as  $r \rightarrow 0$  for a wedge with  $\beta > \pi$ . Thus  $v_c$  must be exceeded near  $r = 0$ , implying that either there is a region of normal fluid near the tip of the wedge or a vortex is present in the system. As we have indicated, this issue is unimportant in torsional oscillation experiments because the region of normal fluid near the tip would be unobservably small for experimentally relevant parameter values. It is, however, interesting to inquire about the behavior in the general case. We show here that this divergence in the velocity field is eliminated by the introduction of a single vortex.

From symmetry, the vortex must be located along the line  $\phi = \beta/2$ . Let the position of the vortex be  $(r_v, \beta/2)$ . The presence of a vortex of circulation  $\kappa (= h/m)$ , where  $h$  is Planck's constant and  $m$  is the mass of a particle of the fluid) at  $(r', \phi')$  leads to an additional term  $\kappa G(r, \phi; r', \phi')$  in the expression for the stream function  $\Psi(r, \phi)$  where

$G(r, \phi; r', \phi')$  is the Green's function given in Eq. (2.21) (see Sec. 3 of Ref. [1] for a derivation of this result). This additional term in  $\Psi(r, \phi)$  (with  $r' = r_v$ ,  $\phi' = \beta/2$ ) leads to the following additional term in the expression for the radial component of the velocity near  $r=0$ :

$$\begin{aligned} v_r(r, \phi) &= v_r^0(r, \phi) + \frac{\kappa}{\beta r} \sum_{n=1}^{\infty} r^{n\pi/\beta} \left( \frac{1}{r_v^{n\pi/\beta}} - \frac{r^{n\pi/\beta}}{a^{2n\pi/\beta}} \right) \\ &\quad \times \cos(n\pi\phi/\beta) \sin(n\pi/2) \\ &\equiv v_r^0 + v_r^1, \end{aligned} \quad (2.32)$$

where  $v_r^0(r, \phi)$  is the curl-free result as given by Eqs. (2.29). The  $n=1$  part of the additional term cancels the divergent  $n=1$  contribution of the previous expression if

$$\kappa \left( \frac{1}{r_v^{n\pi/\beta}} - \frac{r_v^{n\pi/\beta}}{a^{2n\pi/\beta}} \right) = 8\Omega a^{2-\pi/\beta} \frac{1}{4 - \pi^2/\beta^2}. \quad (2.33)$$

It is easy to check that the divergence in the expression for the azimuthal component of the velocity is also removed if this condition is satisfied. Defining  $(r_v/a)^{\pi/\beta} \equiv \xi$ , the solution of Eq. (2.33) is  $\xi = [\sqrt{4 + \eta^2} - \eta]/2$ , where

$$\eta \equiv \frac{8\Omega a^2}{\kappa(4 - \pi^2/\beta^2)} > 0. \quad (2.34)$$

One sees that  $\xi$  has the nice property that  $0 < \xi < 1$  for any value of  $\eta$ . The value of  $\xi$  changes from 1 to 0 as the dimensionless parameter  $\gamma \equiv \Omega a^2/\kappa$  increases from zero to a large value; i.e., the vortex moves inward from the rim of the wedge to its tip as the angular velocity increases.

Using the expressions for the radial and tangential components of the velocity in the presence of a vortex, the total angular momentum of the superfluid can be calculated. The presence of the vortex increases the angular momentum about the origin by the amount  $L_v$  and the moment of inertia for rotation about the origin by  $I_v = L_v/\Omega$ . Using the result for the vortex position, this can be written as

$$\begin{aligned} I_v &= \frac{64a^4}{\pi} \frac{1}{[4 - \pi^2/\beta^2][(a/r_v)^{\pi/\beta} - (r_v/a)^{\pi/\beta}]} \\ &\quad \times \sum_{n>0, n \text{ odd}} (-1)^{(n+1)/2} \frac{1}{n(4 - n^2\pi^2/\beta^2)} \\ &\quad \times \left[ \left( \frac{r_v}{a} \right)^2 - \left( \frac{r_v}{a} \right)^{n\pi/\beta} \right]. \end{aligned} \quad (2.35)$$

In the presence of the vortex, the moment of inertia about the origin is  $(I_{SF} + I_v)$  where  $I_{SF}$  is given by Eq. (2.24) and  $I_v$  is given by the equation above. The value of  $r_v/a$  to be used in this equation is given by the solution of Eq. (2.33). Since the vortex position  $r_v$  depends on the angular speed  $\Omega$ , the value of  $I_v$  also depends on  $\Omega$ .

Although the divergence in the velocity field at small  $r$  is eliminated by the introduction of a vortex, the free energy of the state with this vortex is not necessarily lower than that of the vortex-free state with a small region of normal fluid near  $r=0$ . Specifically, in experimental situations (e.g., in experiments on solid  $^4\text{He}$  discussed above) where the dimensions

of the region of normal fluid are extremely small, the free-energy cost of creating the normal region is negligible and the free-energy cost of creating a vortex is the deciding factor in determining whether a vortex will be present. We therefore calculate, in the following subsection, the free energy of a state with a single vortex.

### E. Free energy of a vortex and critical angular velocity for vortex nucleation

In the free-energy calculation, we consider the general case of a ring with  $b \neq 0$ . The angular speed  $\Omega_1$  at which nucleation of a first vortex will occur can be determined from free-energy considerations. The free energy  $F$  is given [1] in terms of the energy  $E$  and the angular momentum  $L$  as

$$F = E - L\Omega. \quad (2.36)$$

We will denote here with a subscript 0 the quantities  $F$ ,  $E$ , and  $L$  in the vortex-free state and with a 1 subscript those in the presence of one vortex. As stated in the preceding subsection the stream function in the presence of a vortex is

$$\Psi_1(\mathbf{r}) = \Psi_0(\mathbf{r}) + \kappa G(\mathbf{r}, \mathbf{r}') \equiv \Psi_0 + \Psi_1, \quad (2.37)$$

where  $G(\mathbf{r}, \mathbf{r}')$  is the Green's function given in Eq. (2.30) and  $\mathbf{r}'$  is the vortex position with coordinates  $r', \phi'$ . From symmetry considerations  $\phi' = \beta/2$  and the equilibrium radial position of the vortex,  $r' = r_v$ , is to be determined from free-energy minimization. The velocity field and the angular momentum in the presence of a vortex can be readily obtained from the stream function of Eq. (2.37). The angular momentum is given by

$$L_1 = L_0 + \kappa a^2 C, \quad (2.38)$$

where the dimensionless quantity  $C$  has the following expression:

$$\begin{aligned} C &= \frac{8}{\pi} \sum_{n>0, n \text{ odd}} (-1)^{(n+1)/2} \frac{1}{n} \frac{1}{4 - x_n^2} \frac{1}{1 - c^{2x_n}} [(r'/a)^2 (1 - c^{2x_n}) \\ &\quad - (r'/a)^{x_n} (1 - c^{x_n+2}) - (ca/r')^{x_n} (c^2 - c^{x_n})], \end{aligned} \quad (2.39)$$

with  $x_n = n\pi/\beta$ .

It is not hard to see explicitly that  $G(\mathbf{r}, \mathbf{r}')$  has, as expected, a logarithmic singularity at  $\mathbf{r}'$ , so that we can write

$$G(\mathbf{r}, \mathbf{r}') = \frac{1}{2\pi} \ln(|\mathbf{r} - \mathbf{r}'|/\alpha) + g(\mathbf{r}, \mathbf{r}'), \quad (2.40)$$

where  $\alpha$  is the radius of the vortex core and  $g(\mathbf{r}, \mathbf{r}')$ , the nonsingular part of the Green's function, satisfies the Laplace equation. As shown in Ref. [1] (see also Ref. [28]), the energy in the presence of a vortex can be written as

$$E_1 = \frac{1}{2} L_1 \Omega + \frac{1}{4} \kappa \Omega r'^2 - \frac{1}{2} \kappa \Psi_0(\mathbf{r}') - \frac{1}{2} \kappa^2 g(\mathbf{r}', r'). \quad (2.41)$$

After some algebra, the nonsingular part of the Green's function appearing in Eq. (2.41) is obtained as

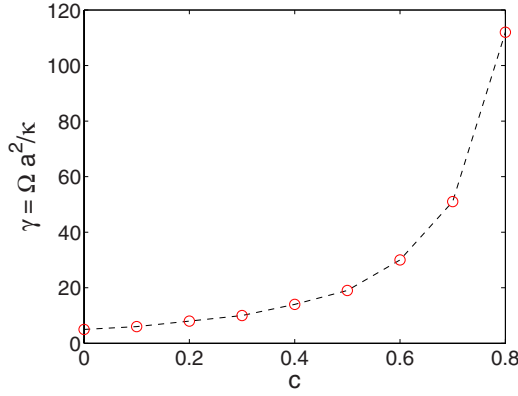


FIG. 6. (Color online) The critical angular velocity for vortex nucleation in a ring ( $\beta=2\pi$ ). Here the critical value of the parameter  $\gamma$  (i.e.,  $\Omega_1 a^2 / \kappa$ ) is plotted as a function of  $c$ . The circles are numerical results, connected by straight dashed lines. The increase at larger  $c$  shows that the nucleation of vortices is unfavorable in that case.

$$g(\mathbf{r}', r') = \frac{1}{2\pi} \ln\left(\frac{\pi\alpha}{2\beta r'}\right) - \frac{1}{\pi} \sum_{n>0, n \text{ odd}} \frac{1}{n} \frac{1}{1-c^{2x_n}} [2c^{2x_n} - (r'/a)^{2x_n} - (ca/r')^{2x_n}], \quad (2.42)$$

where  $x_n = n\pi/\beta$ . Using Eqs. (2.38), (2.39), (2.41), and (2.42), the free energy in the presence of a vortex at  $(r', \beta/2)$  may be obtained. The results depend on the vortex core size via the logarithmic dependence on  $a/\alpha$  mentioned above. One then minimizes  $F_1$  with respect to  $r'$  to obtain its optimal value  $r_v$  and compares  $F_1$  and  $F_0$  to find the overall equilibrium state. This depends on the value of  $\Omega$  and, for sufficiently small  $\Omega$ , it is the vortex-free state, while for  $\Omega > \Omega_1$  the one-vortex state first becomes favorable. In practice, these calculations can be done only numerically, but the computations are not difficult. The relevant dimensionless parameter is the quantity  $\gamma = \Omega a^2 / \kappa$  defined in the preceding section. This parameter is the ratio of the characteristic scale,  $\Omega a$ , of the velocity field  $\mathbf{v}^0$  due to the rotation alone and the scale of the additional velocity field  $\mathbf{v}^1$  due to the vortex, which is  $\kappa/a$ . One needs also to input the value of  $\alpha/a$  for which we take the physically reasonable value of  $10^{-7}$  appropriate for liquid  $^4\text{He}$ .

Results for  $\Omega_1$  computed for a blocked annular ring ( $\beta = 2\pi$ ) are given in Fig. 6. There we plot the critical value of  $\gamma$  versus the aspect ratio  $c$ . We see that at reasonably small or intermediate values of  $c$  the critical value of  $\gamma$  is in the range 10–50 corresponding to angular speeds in the general range of  $10^{-1}$ /s, which is in the experimentally relevant region. At large values of  $c$  this quantity increases, reflecting that the system is behaving more like a rigid body, in which case the formation of vortices is obviously less favorable. A similar trend was seen for progressively flatter ellipsoids in Ref. [1]. This implies that one need not worry about the formation of vortices in narrow blocked rings and wedges while estimating the contribution of these objects to the NCRI of the system.

In Fig. 7, we show the texture of the velocity field  $\mathbf{v}^1$  due to the nucleated vortex alone at  $c=0.5$  and at a value of  $\gamma$

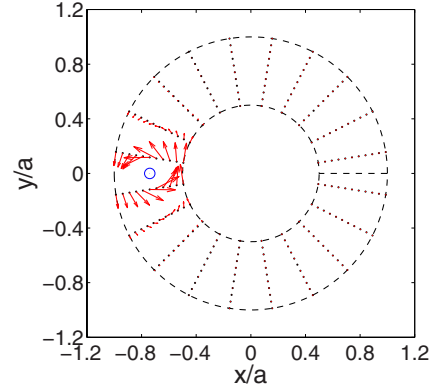


FIG. 7. (Color online) Fields produced by a nucleated vortex in an obstructed ring with  $c=0.5$ , at  $\Omega = \Omega_1$ . Only the fields produced by the vortex are included. Its position [marked by a (blue) open dot] is at the optimal value (see text)  $r_v/a=0.74$ . The total flow is the sum of that shown in this figure, weighed by a factor of  $1/\gamma$ , and that in the top panel of Fig. 1. Because  $\gamma$  is rather large, the result would be hard to distinguish from that shown in Fig. 1.

slightly higher than its critical value, which at this value of  $c$  is  $\gamma_1 \approx 20$  (see Fig. 6). The calculated optimal position of the vortex at these values of  $\gamma$  and  $c$  is  $r_v/a=0.74$ . This position is marked by a (blue) circle in the plot. The fields in this figure should be combined with those in the top panel of Fig. 1. One should recall that both plots are in arbitrary units, so that before plotting the combined field one should divide the fields in Fig. 7 by  $\gamma \approx 20$  to take into account their overall smaller relative scale. If that were done, however, then the plot would be very hard to distinguish with the naked eye from that in the top panel of Fig. 1.

The moment of inertia of a ring in the presence of a nucleated vortex may be calculated from Eqs. (2.38) and (2.39). The results deviate from those obtained for the vortex-free state only by a correction of order  $1/\gamma$ . For  $\Omega \geq \Omega_1$  this is therefore significant only at small values of  $c$ . At  $c \rightarrow 0$  we find, for example, that, at  $\beta=2\pi$ , the moment of inertia of a blocked wedge ( $c=0$ ) increases by about 8.3% as a vortex is nucleated at  $\Omega = \Omega_1$  and the increase in the moment of inertia due to the nucleation of a vortex becomes less than 1% for  $c \geq 0.33$ .

The optimal value  $r_v$  of the radial coordinate of the vortex obtained from free-energy minimization is quite different from the value for which the velocity due to the vortex cancels the mathematical singularity at  $r=0$  found in wedges with  $\beta > \pi$ . This implies that the velocity field would formally diverge at  $r=0$  in such systems even when a vortex is present at the position corresponding to the minimum of the free energy. As noted above, this mathematical singularity does not have any physical consequence in usual experiments on  $^4\text{He}$ . However, this interplay between the requirements of keeping the velocity below the Landau critical value and minimizing the free energy may lead to nontrivial behaviors in other experimentally accessible situations such as Bose-Einstein condensates in cold atomic systems.

### III. SUMMARY AND DISCUSSION

We have calculated here the velocity fields of a superfluid sample in a cylindrical wedge, or ring-wedge geometry. We



have used two different methods to solve the relevant hydrodynamic equations both in the absence of vortices and when vortices are present. From the resulting velocity fields, we have derived formulas for the moment of inertia and, therefore, for the NCRI effect in these geometries.

Physically, the most important of our results is that the NCRI effect is most prominent for relatively narrow rings. Our calculations show that the moment of inertia of a blocked narrow ring is very close to the rigid-body value unless the width of the ring is a large fraction of its outer radius. Since the moment of inertia of a superfluid ring for rotation about its center is zero when it is unblocked (at least for small  $\Omega$ ), one should see a considerable change in the NCRI when approximately circular superfluid channels in a sample are obstructed or unobstructed. The fractional change in the moment of inertia as a ring is unblocked (defined relative to the moment of inertia of the ring for rigid-body rotation) is maximum when the rotation axis passes through the center of the ring. In that case, this ratio approaches unity very quickly as the aspect ratio  $c$  of the ring is increased toward one (see Fig. 2, top panel) and this ratio has a value close to 0.44 as  $c \rightarrow 0$ . The magnitude of the change in the rotational inertia upon blocking and unblocking does not depend on the location of the axis of rotation. For a fixed value of the outer radius  $a$ , the magnitude of this change is maximum when the aspect ratio  $c$  is close to 0.52 (see Fig. 2, bottom panel). This maximum is very broad. For an annular superfluid wedge, the moment of inertia about an axis passing through its tip is close to the rigid-body value if the opening angle  $\beta$  is small and it decreases as  $\beta$  is increased (see Figs. 3 and 5).

The results summarized above are for the case where there are no vortices, so that the velocity field is irrotational. Since one expects vortices to be nucleated as the rotational speed is increased, we have used a free-energy criterion to determine the critical angular speed for the nucleation of a

vortex in the system. We find that in standard “supersolid” experiments the relevant range of geometries and speeds includes both the parameter region where vortices are absent and that where nucleated vortices exist. For a fixed value of  $\beta=2\pi$  (ring geometry), the critical angular speed increases rapidly as the aspect ratio  $c$  is increased above about 0.5 (see Fig. 6). Also, the increase in the moment of inertia due to the nucleation of a vortex is rather small (less than 10%) in all cases. These observations imply that the results mentioned above for a narrow ring without vortices remain, for  ${}^4\text{He}$ , valid for relatively large values of the angular velocity.

Mathematically, a number of relevant results have been uncovered and emphasized. There are a number of technical difficulties in the calculation of the velocity fields, leading to nonconvergent series and singularities. However, the singularities are integrable and the series are Borel summable, so that there is no difficulty in calculating physical quantities such as the angular momentum and the kinetic energy. We also point out the occurrence of a mathematical singularity in the velocity field in wedges (but not in rings) with  $\beta > \pi$  and discuss possible effects of this divergence. This singularity turns out to have no measurable consequence on experimental studies of  ${}^4\text{He}$ , but may be relevant in studies of cold atomic systems confined in wedge-shaped traps.

In general, the ideas and methods developed here can be used in other geometries. We believe that the results and techniques presented here can be very useful in understanding not only NCRI phenomena in “supersolid” helium, but also superflow in confined geometries and in finite systems. Work in which we apply these ideas to study the NCRI effect in realistic models of grain boundary networks is in progress.

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